PTO/SB/08A (10-01)
Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE control number.

Substitute for form 1449A/PTO Complete if Known **Application Number** To be assigned INFORMATION DISCLOSURE Filing Date February 13, 2002 STATEMENT BY APPLICANT First Named Inventor Lehmann-Lintz, T. et al Art Unit To be Assigned (use as many sheets as necessary) To be Assigned Examiner Name Sheet of Attorney Docket Number 5/1269PCT

		· · · · · · · · · · · · · · · · · · ·	U.S. PAT	ENT DOCUMENTS	
		Document Number Number - Kind Code ² (if known	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant
IB		us- 4,397,855	08/09/1983	Sircar, I.	Figures Appear
		US-			
		US- ,			
		US-			
· .		US-			
		US-			

		FOR	EIGN PATENT D	OCUMENTS		
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code 3 - Number 4 - Kind Code 5 (if known)	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
EB EB EB		WO 00/55139 WO 99/32463 EP 0718287(Eng. Trans.) BE 642084	09/21/2000 07/01/1999 07/03/1964	Boehringer-Ingelheim Bayer Corporation Boehringer Ingelheim Union Chimique		
		Chem Abstract : DE042004	07799/4064	Union Cinimique		

Examiner		D=4=	
Signature	X Demliaill	Date	0/2-/-
Olgitalaic	V My mande	Considered	1/29/22
*FYAMINED	Initial if reference as additional and the		

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

Fiec'd PCT/PTS 13 FEB 2002

United States

PTO/SB/08B (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Compl te if Known		
			Application Number	To be assigned	
			Filing Date	February 13, 2002	
			First Named Inventor	Lehmann-Lintz, T. et al	
			Group Art Unit	To be Assigned	
	(use as many sheets	as necessary)	Examiner Name	To be Assigned	
Sheet	0	f	Attorney Docket Number	5/1269PCT	

OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т2	
£B		Hirosada SUGIHARA, 1,5 Benzoxathiepin Derivatives II, Synthesis and Serotonin S2 Receptor-Blocking Activity of Aminoalkyl-Substituted		
	5	3,4-Dihydro-2H-1,5-benzoxathiepin-3-ols and Related Compounds, Chem. Pharm. Bulletin, Vol. 35, pp.1930-1952		
FB		Chemical Abstract (62:91011) fa BE 642084		
APPENDING TO THE PERSON OF THE	-			
		,		

Examiner		Date	9/29/03
Signature	L Bomback	Considered	7 703

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the nedes of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.